

General information about company

Scrip code	531254
Name of the company	OPTIMUS FINANCE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	2582210			2582210	72.28	2582210		2582210	72.28
(B)	Public	2771	990090			990090	27.72	990090		990090	27.72
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	2772	3572300			3572300	100	3572300		3572300	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				72.28	2582210	100			2582210
(B)	Public				27.72	0	0			651700
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	2582210	72.28			3233910

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	2582210			2582210	72.28	2582210		2582210	72.28
Sub-Total (A)(1)		1	2582210			2582210	72.28	2582210		2582210	72.28
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	2582210			2582210	72.28	2582210		2582210	72.28
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	2720	718082			718082	20.1	718082		718082	20.1
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	155026			155026	4.34	155026		155026	4.34
(e)	Any Other (specify)	46	116982			116982	3.27	116982		116982	3.27
Sub-Total (B)(3)		2771	990090			990090	27.72	990090		990090	27.72
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		2771	990090			990090	27.72	990090		990090	27.72
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		2772	3572300			3572300	100	3572300		3572300	100
Total (A+B+C)		2772	3572300			3572300	100	3572300		3572300	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				72.28	2582210	100			2582210
Sub-Total (A) (1)				72.28	2582210	100			2582210
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				72.28	2582210	100			2582210
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				20.1	0	0			389092
(a(ii))				4.34	0	0			155026
(e)				3.27	0	0			107582
Sub-Total (B) (3)				27.72	0	0			651700
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				27.72	0	0			651700
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	2582210	72.28			3233910
Total (A+B+C)				100	2582210	72.28			3233910
Disclosure of notes on shareholding pattern									Textual Information(1)

Text Block

Textual Information()

The Company has allotted 20,00,000 equity shares on conversion of Convertible Equity Warrants on 20.09.2016, Listing approval for which is granted by BSE and Corporate Action Process is in progress with CDSL & NSDL.

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SUKRUTI INFRATECH PRIVATE LIMITED	
PAN (II)	AAPCS1185C	Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	2582210	2582210
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2582210	2582210
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	72.28	72.28
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2582210	2582210
Class eg:y		
Total	2582210	2582210
Total as a % of Total Voting rights	72.28	72.28
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	72.28	72.28
Number of Locked in shares (XII)		
No. (a)	2582210	2582210

As a % of total Shares held (b)	100	100
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2582210	2582210
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Serial No.	1	2	
Name of the Shareholders (I)	PINAL JIGNESH PANDYA	JIGNESH SURESHBHAI PANDYA	Click here to go back
PAN (II)	AMJPP8304P	AHJPP7603G	Total
No. of fully paid up equity shares held (IV)	37164	36736	73900
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	37164	36736	73900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.04	1.03	2.07
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	37164	36736	73900
Class eg:y			
Total	37164	36736	73900
Total as a % of Total Voting rights	1.04	1.03	2.07
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.04	1.03	2.07
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	37164	36736	73900

Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	HUF	NRI – Non-Repatriate	NRI – Repatriate	Clearing Members	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)						OVERSKUD MULTI ASSET MANAGEMENT PRIVATE LIMITED
PAN (II)						AAACR5068G
No. of the Shareholders (I)	13	1	5	8	19	1
No. of fully paid up equity shares held (IV)	7135	200	8990	2751	97906	45000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7135	200	8990	2751	97906	45000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.2	0.01	0.25	0.08	2.74	1.26
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	7135	200	8990	2751	97906	45000
Class eg:y						
Total	7135	200	8990	2751	97906	45000
Total as a % of Total Voting rights	0.2	0.01	0.25	0.08	2.74	1.26
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.2	0.01	0.25	0.08	2.74	1.26
Number of Locked in shares (XII)						

No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	7135	200	1990	2751	95506	45000
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	46
No. of fully paid up equity shares held (IV)	116982
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	116982
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.28
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	116982
Class eg:y	
Total	116982
Total as a % of Total Voting rights	3.28
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.28
Number of Locked in shares (XII)	
No. (a)	0

As a % of total Shares held (b)	0
Number of equity shares held in dematerialized form (XIV)	107582
Reason for not providing PAN	
Reason for not providing PAN	

